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Abstract: Rationale: HDL exerts endothelial-protective effects via stimulation of endothelial cell (EC) NO production. This function is impaired in patients with cardiovascular disease. Protective effects of exercise training (ET) on endothelial function have been demonstrated. Objective: This study was performed to evaluate the impact of ET on HDL-mediated protective effects and the respective molecular pathways in patients with chronic heart failure (CHF). Methods and Results: HDL was isolated from 16 healthy controls (HDL_{healthy}) and 16 patients with CHF-NYHA-III (HDL_{NYHA-IIIb}) before and after ET, and 8 patients with CHF-NYHA-II (HDL_{NYHA-II}). EC were incubated with HDL and phosphorylation of eNOS-Ser(1177), eNOS-Thr(495), PKC-βII-Ser(660) and p70S6K-Ser(411) was evaluated. HDL-bound malondialdehyde and HDL-induced NO production by EC were quantified. Endothelial function was assessed by flow-mediated dilatation (FMD). The proteome of HDL particles was profiled by shotgun LC-MS/MS. Incubation of EC with HDL_{NYHA-IIIb} triggered a lower stimulation of phosphorylation at eNOS-Ser(1177) and a higher phosphorylation at eNOS-Thr(495) when compared to HDL_{healthy}. This was associated with lower NO production of EC. In addition an elevated activation of p70S6K, PKC-βII by HDL_{NYHA-IIIb}, and a higher amount of malondialdehyde bound to HDL_{NYHA-IIIb} compared to HDL_{healthy} was measured. In healthy individuals ET had no effect on HDL function, whereas ET of CHF-NYHA-IIIb significantly improved HDL function. A correlation between changes in HDL-induced NO production and FMD improvement by ET was evident. Conclusions: These results demonstrate that HDL-function is impaired in CHF and that ET improved the HDL-mediated vascular effects. This may be one mechanism how ET exerts beneficial effects in CHF.

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Exercise Training in Patients With Chronic Heart Failure Promotes Restoration of HDL Functional Properties

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Abstract:

Background: HDL exerts endothelial-protective effects via stimulation of endothelial cell NO production. This function is impaired in patients with cardiovascular disease. Studies demonstrated that exercise training (ET) has protective effects on endothelial function. Aim of this study was to evaluate HDL function in patients with chronic heart failure (CHF), the impact of ET and the respective molecular pathways.

Methods: HDL was isolated from 8 healthy controls (HDL_{healthy}) before and after ET, 8 patients with CHF-NYHA-II (HDL_{NYHA-II}), and 8 patients with CHF-NYHA-IIIb (HDL_{NYHA-IIIb}) before and after ET. Endothelial cells (EC) were incubated with HDL and phosphorylation of eNOS-Ser¹¹⁷⁷, eNOS-Thr⁴⁹⁵, PKC-βII-Ser⁶⁶⁰ and p70S6K-Ser⁴¹¹ was evaluated. HDL-bound malondialdehyde and HDL-induced NO production by EC was quantified. The proteome of HDL particles were investigated by shotgun LC-MS/MS. Endothelial function was assessed by flow-mediated dilatation (FMD).

Results: Incubation of ECs with HDL_{NYHA-IIIb} reduced phosphorylation of eNOS-Ser¹¹⁷⁷, whereas phosphorylation at eNOS-Thr⁴⁹⁵ increased when compared to HDL_{healthy}. This was associated with lower NO production of ECs incubated with HDL_{NYHA-IIIb}. In addition an elevated activation of p70S6K, PKC-βII by HDL_{NYHA-IIIb}, and a higher amount of malondialdehyde bound to HDL_{NYHA-IIIb} was measured.

ET of healthy individuals had no effect on HDL function, whereas ET of CHF-NYHA-IIIb significantly improved HDL function. A correlation between changes in HDL-induced NO production and FMD improvement by ET was evident.

Discussion: These results demonstrate that HDL-function is significantly impaired in CHF and that ET improved the vascular effects of HDL. This may be one mechanism how ET exerts its beneficial effects in CHF.

Introduction

An abnormality in endothelium-dependent vasodilatation is a key phenomenon in patients with chronic heart failure (CHF). Numerous animal ^{1,2} and human ^{3,4} studies demonstrated a significant improvement in endothelium-dependent relaxation by exercise training (ET). An important factor responsible for this improvement is the increase in the bioavailability of nitric oxide (NO). Studies using cultured endothelial cells ^{5,6} or vessel tissue specimens obtained from trained animals ⁷ or humans ⁸ clearly documented that an increase in shear stress resulted in activation of endothelial nitric oxide synthase (eNOS), either by increased expression ⁹ or an activation by phosphorylation ⁸, and a reduced production of reactive oxygen species competing for NO ¹⁰.

High-density lipoprotein (HDL) levels above 40-60 mg/dl have been proposed as strong independent predictor of lower coronary artery disease risk ^{11,12,13}. Besides promotion of reverse cholesterol transport, HDL has been found to exert important anti-atherogenic effects by stimulation of endothelial cell NO production and endothelial repair as well as anti-inflammatory and anti-oxidant effects ^{14,15,16,17,18,19}. In recent studies it became evident that the functional properties of HDL with respect to stimulation of NO production is significantly impaired in patients with diabetes ²⁰, coronary artery disease ²¹, and primary antiphospholipid syndrome ²². Mechanistically it is proposed that in these patients malondialdehyde-modified HDL triggers the activation of PKC- β II, thereby reducing eNOS-dependent NO production ²¹. Based on these findings on the functional importance of HDL in influencing endothelial function, the therapeutic approach targeting HDL is beginning to shift towards improving HDL function rather than just increasing its concentration (reviewed in ²³). This view is further supported by the recently published dal-OUTCOMES trial, documenting that just raising the HDL level does not reduce the risk of recurrent cardiovascular events ²⁴.

To critically assess the relation between disease severity of patients with CHF and HDL

function, we isolated HDL from healthy, CHF patients in NYHA class II and IIIB and determined its ability to stimulate eNOS activation and thereby NO production. To study the impact of ET on HDL function, HDL was additionally isolated from healthy individuals and NYHA-IIIb patients after an ET program and its stimulating effect on eNOS phosphorylation and NO production was evaluated.

Methods

Patient population and blood sampling

Eight healthy control subjects (HS) with normal systolic LV function ($>55\%$), without signs or symptoms of CHF and without evidence of coronary artery disease during coronary angiography were included into the study. The HS performed an ET program as outlined below for 4 weeks. In addition 8 patients with CHF (LVEF $<40\%$) in NYHA class II and 8 CHF patients in NYHA class IIIb were recruited. Patients in NYHA IIIb were assigned to a 12 week ET program as outlined below.

Blood was collected from all subjects when entering the study and when finishing the ET program (only healthy and NYHA IIIb subjects). Serum was prepared by ultracentrifugation (10 min at $3000 \times g$ at 4°C) and stored at -80°C until used.

Training protocol of patients in NYHA IIIb

The initial phase of the exercise program was supervised and performed in-hospital. During the first 3 weeks, patients exercised 3 to 6 times daily for 5 to 20 minutes on a bicycle ergometer adjusted to the work load at which 50% of maximum oxygen uptake ($\text{VO}_{2\text{max}}$) was reached. Before discharge from the hospital, symptom-limited spiroergometry was performed again to determine the training target heart rate for home

based training (defined as the heart rate reached at 60% of $VO_2\text{max}$). On discharge, patients were provided with bicycle ergometers for home based ET. They were encouraged to exercise close to their target heart rate for 20 to 30 minutes daily for a period of 12 weeks and were expected to participate in 1 supervised group training session for 60 minutes every week consisting of walking, calisthenics, and noncompetitive ball games.

Measurement of endothelial function

Flow-mediated dilatation (FMD) of the radial artery was measured using a high-resolution ultrasound scanning echo-tracking angiometer (NIUS 02, Asulab Research Laboratory, Neuchatel, Switzerland) as described previously^{25, 26}.

Isolation of HDL

HDL was isolated from serum by sequential density ultracentrifugation ($d = 1.006 - 1.21$ g/ml) as recently described in detail.^{20,21} Quality of isolated HDL was evaluated by polyacrylamid gel electrophoresis followed by coomassie blue staining.

Cell culture and incubation with isolated HDL

Human aortic endothelial cells (HAEC, Cell Systems Biotechnology, Troisdorf, Germany) were cultured in EGM-2 cell culture medium (Lonza, Wickersville MD, USA) until 80 to 90% confluence. Cells were incubated for 0, 5, 10, 15, 30 or 60 min with 50 $\mu\text{g/ml}$ isolated HDL. To elucidate signaling pathways cells were pretreated (1h prior HDL stimulation) with specific inhibitors like rapamycin (Santa Cruz, Heidelberg, Germany; 20 nmol/l) to inhibit p70S6K or CG53353 (Merck Chemicals, Nottingham, UK; 2 $\mu\text{mol/L}$) to inhibit PKC- β II. Thereafter, cells were harvested with ice cold lysis buffer (50 mmol/L Tris-HCl pH 7.4, 1% NP-40, 0.25% Na-deoxycholate, 150 mmol/L NaCl, 1 mmol/L EDTA, 0.1% Triton X-100,

0.2% SDS) containing protease inhibitor mix M (Serva, Heidelberg, Germany) as well as phosphatase inhibitors (Phosphatase inhibitor mix II, Serva, Heidelberg, Germany). Protein concentration was determined using BSA as standard (BCA method, Pierce, Rockford IL, USA).

Western blot analysis

Ten µg of total protein was separated on a denaturing polyacrylamid gel and transferred to a PVDF membrane. To detect specific proteins the following antibodies were applied: anti-endothelial nitric oxide synthase (eNOS) (Santa Cruz, Heidelberg, Germany), anti-phospho-eNOS-Ser¹¹⁷⁷, anti-phospho-eNOS-Thr⁴⁹⁵ (both BD Bioscience, Heidelberg, Germany), anti-PKC-βII, anti-phospho PKC-βII-Ser⁶⁶⁰, anti-p70S6Kα, anti-phospho p70S6Kα-Ser⁴¹¹ (all Santa Cruz, Heidelberg, Germany). All samples were analyzed in triplicate.

Measurement of endothelial cell NO production by ESR spectroscopy

HAEC cell were incubated with HDL (50 µg/ml) for 60 min. Nitric oxide production in human aortic endothelial cells (HAECs) was measured by ESR spectroscopy using the spin-probe colloid Fe(DETC)₂ as recently described ^{20,21}.

Measurement of Pon1 enzymatic activity

Paraoxonase activity of HDL-associated paraoxonase-1 (PON1) was measured by spectrophotometry using paraoxon as substrate. ²¹

Quantification of protein-bound malondialdehyd in HDL

Free and protein-bound malondialdehyde (MDA) in HDL was detected by a commercially available lipid peroxidation assay kit (ALDetect, Enzo Life Sciences) as recently described.

Measurement of plasma oxidative capacity

Oxidative capacity was determined in plasma samples from all participants using a commercial available quantification kit (PerOx (KC5100) kit, Immundiagnostik, Bensheim, Germany). Measurements were performed in duplicate according to the manufactures recommendations.

NanoLC-MS/MS analysis

The proteome of isolated HDL particles were investigated by shotgun LC-MS/MS (for detailed description see online supplement).

Statistical Analysis

SPSS version 16.0 (SPSS Inc, Chicago, Ill) was used for all of the analyses. Data are expressed as mean \pm SEM. Comparisons among groups were tested with ANOVA. When data were not normally distributed or the variance was not equal, the Kruskal-Wallis nonparametric test was used. A value of $p < 0.05$ was considered statistically significant. All of the measurements were made by investigators blinded to the treatment group.

Results

Patient characteristics and follow-up after exercise training

The baseline values for all individuals included into the study are depicted in Table 1.

As expected the healthy individuals significantly differed from patients with chronic heart failure with respect to LV ejection fraction, maximal oxygen consumption and medication.

No difference was observed between the three groups comparing age, BMI, arterial hypertension and diabetes mellitus. None of the participants was active smoking. Analyzing the lipid profile, only a significant lower HDL concentration was evident in CHF patients in NYHA class IIIb when compared to healthy individuals.

The exercise program of 12 weeks in NYHA class IIIb patients led to an increase of $VO_2\text{max}$ (14.3 ± 1.2 to 17.4 ± 1.6 ml/kg/min; $p < 0.05$) and left ventricular ejections fraction (24 ± 2 to 34 ± 2 %; $p < 0.05$). With respect to the lipid profile no change after 12 weeks of ET was evident.

HDL-mediated eNOS phosphorylation and NO production – impact of exercise training

Incubating HAEC with HDL_{healthy} the phosphorylation of eNOS at position Ser^{1177} was increased 5.0 ± 0.5 fold vs. unstimulated cells. This stimulation of eNOS- Ser^{1177} was lower with $HDL_{\text{NYHA-II}}$ (3.7 ± 1.1 fold vs. unstimulated cells; $p = \text{NS}$ vs. healthy controls), reaching significance in CHF patients in NYHA class IIIb (1.8 ± 0.4 fold vs. unstimulated cells; $p < 0.05$ vs. healthy controls) (Figure 1A). Analyzing the eNOS phosphorylation at position Thr^{495} , $HDL_{\text{NYHA-IIIb}}$ stimulated the phosphorylation significantly more than HDL_{healthy} (NYHA IIIb: 3.3 ± 0.4 fold vs. unstimulated cells; NYHA II: 2.6 ± 0.5 fold vs. unstimulated cells; healthy controls: 1.5 ± 0.4 fold vs. unstimulated cells; $p < 0.05$ NYHA IIIb vs. healthy controls). (Figure 1B). These differences in HDL-mediated eNOS phosphorylation capacity between the groups are also evident when measuring the HDL-induced NO production of

endothelial cells (Figure 2).

Comparing the functional properties of HDL_{NYHA-IIIb} before and after an exercise program revealed a significant improvement in phosphorylation of eNOS at position Ser¹¹⁷⁷ (begin: 1.8±0.4 fold vs. unstimulated cells; end: 4.4±0.9 fold vs. unstimulated cells; p<0.05) (Figure 1A), a significant reduction in phosphorylating eNOS at position Thr⁴⁹⁵ (begin: 3.3±1.0 fold vs. unstimulated cells; end: 2.1±0.4 fold vs. unstimulated cells; p<0.05) (Figure 1B), and a significant higher production of NO in endothelial cells (begin: -1.0±3.3 % increase versus buffer treated cells; end: 19.4±7.5 % increase versus buffer treated cells; p<0.01) (Figure 2). No significant impact of exercise training was observed in the healthy control group with respect to HDL-mediated eNOS-Ser¹¹⁷⁷ phosphorylation (begin: 5.0±0.5 fold vs. unstimulated cells; end: 4.0±1.0 fold vs. unstimulated cells; p=NS), eNOS-Thr⁴⁹⁵ phosphorylation (begin: 1.5±0.4 fold vs. unstimulated cells; end: 1.5±0.3 fold vs. unstimulated cells; p=NS) (Figure 1A and B) and NO production (Figure 2).

HDL-mediated PKC-βIISer⁶⁶⁰ phosphorylation

Incubation of HAEC with HDL_{healthy} resulted in a 1.4±0.3 fold increase in PKC-βII phosphorylation at position Ser⁶⁶⁰ when compared to unstimulated cells. This ability to stimulate PKC-βII phosphorylation is increased with increasing severity of CHF (NYHA II: 1.8±1.1 fold vs. unstimulated cells, NYHA IIIb: 2.2±0.7 fold vs. unstimulated cells; p<0.05 NYHA IIIb vs. healthy) (Figure 3A). Performing an exercise program in patients with CHF NYHA class IIIb significantly reduced the ability of HDL to phosphorylate PKC-βII (begin: 2.2±0.7 vs. end: 1.4±0.2 fold vs. unstimulated cells; p<0.05) (Figure 3A). No impact of ET on HDL-induced phosphorylation of PKC-βII was observed in the healthy controls (begin: 1.4 ±0.3 vs. end: 1.6±0.2 fold vs. unstimulated cells; p=NS) (Figure 3A).

To evaluate the importance of PKC-βII activation for HDL-induced eNOS-phosphorylation, the HDL_{NYHA-IIIb} induced eNOS phosphorylation at Ser¹¹⁷⁷ and Thr⁴⁹⁵ was measured in the

absence or presence of a specific PKC- β II inhibitor (CG53353). As shown in Figure 3B the inhibition of PKC- β II prevented the HDL-induced phosphorylation at eNOS-Thr⁴⁹⁵ without having any influence on eNOS-Ser¹¹⁷⁷ phosphorylation.

HDL-mediated p70S6K-Ser⁴¹¹ phosphorylation

Incubation of HAEC with HDL_{healthy} resulted in a 1.5 ± 0.2 fold increase in p70S6K phosphorylation at position Ser⁴¹¹ when compared to unstimulated cells. This ability to stimulate p70S6K phosphorylation is increased with increasing severity of CHF (NYHA II: 1.9 ± 0.4 fold vs. unstimulated cells, NYHA IIIb: 3.1 ± 0.7 fold vs. unstimulated cells; $p < 0.05$ NYHA IIIb vs. healthy) (Figure 4A). Performing an exercise program in patients with CHF NYHA class IIIb significantly reduced the ability of HDL to phosphorylate PKC- β II (begin: 3.1 ± 0.7 vs. end: 1.4 ± 0.1 fold vs. unstimulated cells; $p < 0.01$) (Figure 4A). No impact of ET on HDL-induced phosphorylation of PKC- β II was observed in the healthy controls (begin: 1.5 ± 0.2 vs. end: 1.7 ± 0.2 fold vs. unstimulated cells; $p = \text{NS}$) (Figure 4A).

Blocking PKC- β II activation by CG53353 resulted in an inhibition of HDL_{NYHA-IIIb}-induced phosphorylation of p70S6K at Ser⁴¹¹ (Figure 4B). In addition, blocking p70S6K activation by rapamycin prevented the HDL_{NYHA-IIIb}-induced phosphorylation of eNOS at Thr⁴⁹⁵ without influencing eNOS phosphorylation at Ser¹¹⁷⁷ (Figure 4 C/D).

Malondialdehyde bound to HDL

HDL-bound MDA was significantly increase in HDL_{NYHA-IIIb} when compared to HDL_{healthy} (healthy: 0.39 ± 0.09 nmol/mg HDL; NYHA II: 0.64 ± 0.09 nmol/mg HDL; NYHA IIIb: 1.13 ± 0.10 nmol/mg HDL; $p < 0.001$ healthy vs. NYHA IIIb, $p < 0.05$ NYHA II vs. NYHA IIIb) (Figure 5A). After finishing an ET program the amount of HDL-bound MDA was significantly reduced by $27.4 \pm 6.1\%$ in CHF-NYHA-IIIb, whereas no change was observed in healthy controls (Figure 5B).

Pon-1 enzyme activity and total lipid peroxides

Measuring the paraoxonase enzymatic activity of PON-1 associated with the isolated HDL, a significant decrease in HDL_{NYHA-IIIb} was evident when compared to HDL_{healthy} (Figure 5C). It also became evident, that in the patients in NYHA IIIb assigned to an exercise intervention program no change in paraoxonase (Figure 5C).

Evaluating circulating total lipid peroxides (LPO) as measure for the oxidative status, a significant increase was obvious in the plasma of CHF NYHA IIIb patients when compared to healthy controls (healthy: 151 ± 41 vs. NYHA-IIIb: 351 ± 71 $\mu\text{mol/L}$; $p < 0.05$). Furthermore, the ET intervention led to a significant reduction of LPO (Begin NYHA-IIIb: 351 ± 71 vs. end: NYHA-IIIb: 210 ± 54 $\mu\text{mol/L}$; $p < 0.05$) (Figure 5D). No impact of ET on LPO was evident in healthy controls (Figure 5D).

Correlation between HDL and endothelial function

To investigate if the exercise-induced change in HDL function observed in CHF NYHA IIIb patients has some influence on endothelial function, a correlation analysis between the change in HDL function and the observed change in endothelial function was performed. A significant correlation was evident between the change in HDL-induced eNOS-Ser¹¹⁷⁷ phosphorylation ($r = 0.81$, $p < 0.05$; see supplementary Figure 1A) or the absolute change in HDL-induced NO production ($r = 0.78$, $p < 0.05$; see supplementary Figure 1B) and the change in endothelial function.

Proteome analysis of HDL particles

In the complete LC-MS/MS dataset, 134 proteins from 709 distinct peptides were identified using the Mascot algorithm; 65 proteins with at least one unique peptide per protein and 69 proteins with at least two peptides per protein were identified.

A Venn's four-set diagram using ellipses shows common and unique proteins between the analyzed groups (Figure 6A). Only a very small proportion of proteins were identified uniquely for one treatment group. The proteins identified in all groups (n=75) were further used for direct label free quantitation utilizing peptide intensities as indicator for protein abundance. A principal component analysis (PCA) plot as indicator of the variance in the dataset (n=134 proteins) is shown in Figure 6B. Each point represents one LC-MS/MS run of one subject. The plot displays the first two principal components that accounts for about 30% of the global variance. A clear distinction of the HDL proteome was observed between the healthy controls (pre and post intervention) and CHF-NYHA-IIIb patients (pre and post intervention). However ET did not result in a clear separation of the HDL proteome in healthy controls (Beg. Vs. end) and CHF-NYHA-IIIb patients (Beg. vs. end; Figure 6B).

To define significantly abundant proteins between healthy controls and CHF-NYHA-IIIb patients a independent T-test as statistical analysis was applied. A Volcano plot shows the significance versus effect size-change on the y- and x-axes, respectively to quickly visualize the most meaningful changes (Figure 6C/D). The comparison of controls and NYHA patients at study begin (Figure 6C) indicated 13 statistically relevant proteins shown as red marked spots. After intervention, 17 proteins were differentially regulated between controls and NYHA patients (Figure 6D, Table 1). A dependent (paired) T-Test was performed to identify significant relevant proteins within the control and the patients groups influenced by ET. However, only one protein (Apolipoprotein C-II (APOC2) with a minor effect size (effect size of 0.19 is means 1.15 fold change) was statistically differential in the healthy control group (Table 1). Additionally, we identified protein groups that were not previously identified in HDL particles, such as beta-Ala-His dipeptidase (CNDP1), pulmonary surfactant-associated protein B (SFTPB) or leucine-rich repeat-containing protein 15 (LRRC15)

Discussion

HDL shows many anti-atherosclerotic properties, including reverse cholesterol transport, decreasing oxidation of LDL and the expression of adhesion molecules (for review see ¹⁹), stimulating eNOS-dependent NO production ^{14,27,28} and mediation of endothelial repair mechanisms ^{29,30,31}. In recent years it became evident that not only the quantity but also the functional capacity of HDL is important for influencing the risk of cardiovascular disease ³². Therefore, strategies are developed to increase HDL quantity and function ²³. ET is an accepted intervention strategy in patients with systolic heart failure ³³ and part of the molecular mechanisms behind the beneficial effect of ET have been described (for a detailed review see ³⁴). With respect to functional properties of HDL in patients with chronic heart failure and the impact of ET several findings emerge from the present study. First, the functional capacity of HDL to increase NO production in endothelial cells via modulation of eNOS phosphorylation is impaired in patients with chronic heart failure. This functional incompetence is gradually increasing with disease severity. Second, in CHF patients the amount of MDA bound to HDL is significantly elevated and the activation of PKC- β II/p70S6K by HDL_{NYHA-IIIb} is significantly increased when compared to HDL_{healthy}. Third, ET in CHF patients in NYHA IIIb for 12 weeks significantly restored the functional capacity of HDL as well as the amount of MDA bound to HDL and the HDL-mediated activation of PKC- β II/p70S6K. The ET-induced change in HDL function significantly correlates with an improved endothelial function. Fourth, proteom analysis of the HDL particle revealed a clear distinction between HDL isolated from healthy controls and CHF – NYHA-IIIb patients. No significant impact of ET on the overall composition of the HDL particle was evident.

These findings suggest, that ET has a positive effect on HDL function with respect to NO generation, and this might be one pathway how ET improves endothelial function in

patients with CHF.

Chronic heart failure and the ability of HDL to regulate NO production

Experimental and clinical studies have suggested that eNOS-derived NO is a crucial determinant of vascular homeostasis, and reduced bioavailability of NO plays an important role in the development and progression of atherosclerosis³⁵. Especially in patients with coronary artery disease the amount of bioavailable NO is significantly reduced due to lower expression of eNOS⁸ or an increased concentration of reactive oxygen species (ROS)¹⁰. But also patients with chronic heart failure irrespective of atherosclerotic etiology are characterized by endothelial dysfunction as a result of increased oxidative stress and reduced NO bioavailability³⁶.

The activity of eNOS is regulated by intracellular calcium concentration, by modulating the protein concentration per se or by phosphorylation at specific residues via activation of protein kinase B (Akt) or protein kinase A (PKA) (for detailed review see³⁷). Besides the activation of eNOS by shear stress or agonists like acetylcholine, bradykinin, or vascular endothelial growth factor (VEGF), HDL also has the potency to activate eNOS by binding to the scavenger receptor-B1¹⁴. In the present study we described for the first time that the capacity of HDL isolated from CHF patients to phosphorylate eNOS and generate NO is significantly impaired. This reduced ability of HDL to stimulate eNOS activity (increased phosphorylation at position Thr⁴⁹⁵ and reduced phosphorylation at position Ser¹¹⁷⁷) even seems to be related to disease severity, since the most relevant impairment was seen in CHF patients in NYHA class IIIb followed by patients in NYHA II when compared to healthy controls. This loss in functional capacity goes along with a significant reduction in NO. This result is in good agreement with findings in other atherosclerotic disorders like primary antiphospholipid syndrome²², diabetes²⁰, and patients with stable CAD or acute coronary syndrome²¹. This functional reduction of HDL is on top of a quantitative reduction of HDL

which was noted in the patients described in the present study and in the current literature³⁸, resulting in a lower anti-atherosclerotic effect of HDL.

Impact of exercise training on HDL function

ET has been proven to partially correct endothelial dysfunction in a variety of diseases (for review see³⁹). As molecular mechanisms restoration of NO bioavailability due to an increase in eNOS expression and Akt-mediated phosphorylation of eNOS at position Ser¹¹⁷⁷ and the reduction in ROS are discussed^{8, 10}. Using cultured human endothelial cells and HDL_{NYHA-IIIb} isolated before and after an ET intervention program, we could clearly demonstrate an improvement in HDL function, measured as the capacity to phosphorylate eNOS at Ser¹¹⁷⁷ and Thr⁴⁹⁵, culminating in an increased NO production. The positive effect of ET on HDL function is in line with a study performed on overweight/obese men with cardiovascular risk factors⁴⁰. In that study a 21-day intervention program consisting of high-fiber low fat diet combined with an exercise program (daily treadmill walking, 45-60 min, 70-85% of maximal heart rate) resulted in a lower HDL inflammatory index. Unfortunately the authors did not analyze the individual effects, so it is not possible to differentiate which intervention, diet or ET, is responsible for the observed effect. Based on the results presented in this study one may speculate that the training induced increase in NO bioavailability and finally the improvement of endothelial function is partly due to an altered HDL function. This assumption is at least supported by the positive correlation between the exercise-induced change in HDL function (change in HDL mediated phosphorylation of eNOS or HDL-mediated NO production) and the change in endothelial function. This functional improvement of HDL by ET is realized without a change in total HDL concentration. This finding highlights to an important point, namely that the functional competence of HDL may be more important for regulating endothelial function/antithrombotic function than the absolute amount.

Possible molecular mechanisms

As already described for HDL isolated from patients with stable coronary artery disease or an acute coronary syndrome ²¹, HDL obtained from NYHA IIIb patients significantly activated PKC-βII and subsequently p70S6K via phosphorylation at Ser⁶⁶⁰ or Ser⁴¹¹ respectively. Inhibition studies using either CG53353 or rapamycin clearly documented that the activation of p70S6K is downstream of PKC-βII activation, and that the HDL mediated activation of this pathway influences mainly the phosphorylation of eNOS at the inhibitory site Thr⁴⁹⁵. Of note, this activation of the PKC-βII pathway was significantly reduced in CHF-patients after an ET program. In addition, using either transgenic mice or endothelial cell culture it could be documented that activation of PKC-βII in endothelial cells and vascular tissue inhibits Akt-dependent regulation of eNOS ^{41,42}. A central question based on this observation is what discriminates HDL isolated from healthy controls and patients with CHF in NYHA IIIb in its ability to activate PKC-βII/p70S6K? At least two possibilities should be discussed. First, a change in the overall protein composition of the HDL particle and second a secondary modification of the HDL particle. With respect to protein composition a clear distinction was evident between the healthy controls and CHF-NYHA-IIIb patients. However ET did not result in a significant modification in the HDL proteome, despite an improved HDL-mediated NO production. Second, an other potential mechanism would be the modification of apolipoprotein A-1, the major HDL protein, by reactive intermediates. Indeed impaired HDL-function after modification by MDA or myristic acid could be documented ^{43,44,45}. For example MDA modification of HDL decreased cholesterol efflux from cultured human fibroblasts ⁴³ or rat liver endothelial cells ⁴⁴. Notably, in the present study we have observed that a significant higher level of MDA is bound to HDL_{NYHA-IIIb} in comparison to HDL_{healthy} and that a training intervention significantly reduced

the MDA amount bound to HDL_{NYHA-IIIb}. Supported by in vitro studies ²¹ it is reasonable to assume that the amount of MDA bound to HDL regulates the activation of PKC-βII (higher activation of PKC-βII in case more MDA is bound to HDL) and finally the activation of eNOS and NO generation. Since the modulation of HDL by MDA seems to play such a central role, the question arises which factors are regulating the amount of MDA bound to HDL, and are they influenced by ET? Paraoxonase (Pon), an enzyme which is associated with HDL, protects lipoproteins (HDL and LDL) from oxidative modification. It is speculated that a lower level of Pon activity in the serum is associated with an increased risk of atherosclerosis. Indeed, in obese subjects with a higher risk for cardiovascular disease, a lower Pon activity was measured ⁴⁶. This relation between Pon activity and the risk for cardiovascular disease was confirmed in recent clinical studies ^{47,48}. In the present study Pon activity of HDL_{NYHA-IIIb} was significantly decreased when compared to HDL_{healthy}. Unfortunately, ET had no effect on Pon activity. This is in accordance with a study performed in obese men, showing improved HDL inflammatory/anti-inflammatory properties after a lifestyle intervention (combination of diet and an exercise intervention) without changing Pon activity ⁴⁰. Therefore, at the moment it remains unclear which mechanism beside Pon may be responsible for the ET-mediated reduction in MDA bound to HDL. Of note an influence of ET on the total oxidative capacity, measured as lipid peroxides in the patient plasma, was evident. Therefore one may speculate that ET reduces the oxidative load, and thereby reduced the amount of MDA bound to HDL.

Study limitations

Some limitations of the present study should be mentioned.

First, only 8 patients or healthy individuals were included into each group. Nevertheless, the primary study goal was to investigate if HDL function in patients with CHF is altered and if this can be reversed by ET. Even with such a small number of individuals in each

group a significant impaired HDL function in NYHA IIIb was evident which could be improved by ET. In addition no data are available on the impact of ET in CHF-NYHA-class II patients.

Second, it remains unclear which mechanism is responsible for the exercise-induced reduction of MDA bound to HDL. According to the data presented in this study the activity of the paraoxogenase is not altered by ET, and therefore can be excluded. On the other hand we could observe an exercise-induced reduction in lipid peroxidation which is a general measure for the oxidative load. So far, it remains unclear which enzymatic system is responsible for the observed reduction in oxidative stress.

Third, at the moment we do not know, if the alterations in the HDL-proteome observed between HDL_{healthy} and HDL_{NYHA-IIIb} have any causal relevance for HDL-mediated eNOS phosphorylation. To answer this questions further studies using site directed mutagenesis are warranted.

Fourth, the results presented in the study are obtained in cell culture experiments using HDL isolated from frozen serum of different patient cohorts. Therefore, it has to be answered if this modulation of HDL also has an impact on endothelial function in vivo. At least the correlation detected between the improvement in endothelial function induced by ET measured in vivo and the HDL associated change in eNOS phosphorylation and NO generation measured in vitro implies that this is an important mechanism for the regulation of endothelial function in vivo. With respect to storage of the serum at -80°C and functionality, no data with respect to HDL-mediated eNOS phosphorylation are available. Nevertheless, in a recent study investigating the HDL-mediated cholesterol efflux, no difference was seen between HDL isolated from fresh serum or serum stored for up to two years ⁴⁹.

Conclusion

In summary, the reduced ability of HDL to stimulate endothelial NO production via activation of eNOS in patients with advanced chronic heart failure suggest a loss of this functional property of HDL. Of note an ET program in this patient cohort seems to partially correct this dysfunction. This may be one possible explanation for the beneficial effect of ET on endothelial function. The exercise-mediated effect on HDL function seems to be mediated via a reduction in MDA bound to HDL, leading finally to a lower activation of PKC- β II resulting in a higher activation of eNOS and a higher synthesis of NO (Figure 7).

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Disclosures

None.

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Figure Legends

Figure 1: HAEC were incubated with HDL (50 µg/ml) isolated from healthy controls (healthy) at begin (Beg) and after performing an exercise training program (end), CHF patients in NYHA-II (NYHA II) and CHF patients in NYHA III at begin (Beg) and after finishing of an exercise training program (End) and the phosphorylation of eNOS at position Ser¹¹⁷⁷ (A) and Thr⁴⁹⁵ (B) was evaluated by western-blot analysis. To compensate for protein loading differences phosphorylation at the specific site was normalized to the non-phosphorylated form. For quantitative analysis the x-fold increase in eNOS phosphorylation of HDL incubated cells vs. untreated cells was determined. Representative examples of the western blots are depicted on top of the figure. Values are expressed as mean±SEM.

Figure 2: Effect of HDL (50 µg/ml, 60 minutes, 37°C) from healthy controls (healthy) before (Beg) and after an exercise programme (end), CHF patients in NYHA-II (NYHA II) and CHF patients in NYHA III at begin (Beg) and after finishing of ET (End) on endothelial NO production as determined by ESR spectroscopy. Data are expressed as percent change versus buffer-treated cells. Values are expressed as mean±SEM.

Figure 3: HAEC were incubated with HDL (50 µg/ml) isolated from healthy controls (healthy) at begin (beg) and after finishing an ET program (end), CHF patients in NYHA-II (NYHA II) and CHF patients in NYHA III at begin (Beg) and after finishing an ET program (end) and the phosphorylation of PKC-βII at position Ser⁶⁶⁰ was evaluated by western-blot analysis (A). HAEC were incubated with HDL (50 µg/ml) isolated from CHF-NYHA-IIIb patient in the presence or absence of a PKC-βII inhibitor (+/- CG53353) and the phosphorylation of eNOS-Ser¹¹⁷⁷ (B) and eNOS-Thr⁴⁹⁵ (C) was evaluated. To compensate for protein loading differences phosphorylation at the specific site was normalized to the

non-phosphorylated form. For quantitative analysis the x-fold increase in PKC- β II phosphorylation of HDL incubated cells vs. untreated cells was determined. Representative examples of the western blots are depicted on top of the figure. Values are expressed as mean \pm SEM.

Figure 4: HAEC were incubated with HDL (50 μ g/ml) isolated from healthy controls (healthy) at begin (beg) and after finishing an ET program (end), CHF patients in NYHA-II (NYHA II) and CHF patients in NYHA III at begin (Beg) and after finishing an ET program (end) and the phosphorylation of p70S6K at position Ser⁴¹¹ was evaluated by western-blot analysis (A). HAEC were incubated with HDL (50 μ g/ml) isolated from CHF-NYHA-IIIb patient in the presence or absence of a PKC- β II inhibitor (+/- CG53353) (B) or the p70S6K inhibitor rapamycin (+/- rapamycin, C,D) and the phosphorylation of p70S6K-Ser⁴¹¹ (B) or the the phosphorylation of eNOS-Ser¹¹⁷⁷ (C) and eNOS-Thr⁴⁹⁵ (D) was evaluated respectively. To compensate for protein loading differences phosphorylation at the specific site was normalized to the non-phosphorylated form. For quantitative analysis the x-fold increase in PKC- β II phosphorylation of HDL incubated cells vs. untreated cells was determined. Representative examples of the western blots are depicted on top of the figure. Values are expressed as mean \pm SEM.

Figure 5: Quantification of protein-bound MDA in HDL isolated from healthy controls (healthy), CHF patients in NYHA-II (NYHA II) and CHF patients in NYHA III (A) as well as the change after performing an exercise training programme (B). Pon 1 paraoxonase activity was measured in HDL isolated from healthy controls (healthy) before (Beg) and after an ET program (end), CHF patients in NYHA-II (NYHA II) and CHF patients in NYHA III at begin (Beg) and after finishing an ET program (end) (C). In addition, the total amount lipid peroxides as measure for the oxidative load was quantified in the plasma of all study

participants (D). Values are expressed as mean \pm SEM.

Figure 6: A schematic drawing depicting common and unique proteins between the analyzed groups (A). A principal component analysis plot to separate HDL from healthy and CHF-NYHA-IIIb as well as before (Beg) and after performing an exercise training program (end) (B). A Volcano plot shows the significance versus effect size-change to quickly visualize the most meaningful changes comparing HDL_{healthy} and HDL_{NYHA-IIIb} at begin (C) and after the exercise training program (C).

Figure 7: Hypothetical working model, how disease progression and ET influences HDL-induced NO production. Disease progression leads to a higher amount of MDA bound to HDL, whereby PKC- β II gets more and more activated. This activation leads to an inhibition of HDL-mediated NO production due to a reduced eNOS phosphorylation at eNOS-Ser¹¹⁷⁷ and a higher phosphorylation at eNOS-Thr⁴⁹⁵. This process seems to be partial reversible by ET.

Table 1: Clinical characteristics and cardiovascular medication

	Healthy Begin (n=8)	Healthy End (n=8)	CHF NYHA-II (n=8)	CHF NYHA-IIIb Begin (n=8)	CHF NYHA-IIIb End (n=8)
Age [years]	67±4		54±4	63±3	
Body mass index [kg/cm ²]	26.9±0.9		30.6±1.5	26.9±0.9	
Arterial hypertension [n]	x (xx%)		3 (37.5%)	7 (87.5%)	
Diabetes mellitus [n]	x (xx%)		4 (50.0%)	3 (37.5%)	
Active smoking [n]	x (x%)		0 (0%)	0 (0%)	
LV ejection fraction [%]	60±2		26±2 **	24±2 **	34±2 †
Peak VO ₂ [ml/kg*min]	xx±xx		17.6±1.1 *	14.3±1.2 **	17.4±1.6 †
<u>Etiology of heart failure</u>					
Ischemic heart disease [n]	- -		3 (37.5%)	4 (50.0%)	
Dilative cardiomyopathy [n]	- -		5 (62.5%)	4 (50.0%)	
<u>Cardiovascular medication</u>					
Beta blocker [n]	1 (12.5%)		5 (62.5%) *	7 (87.5%) *	
ACE inhibitor or ATII blocker	3 (37.5%)		8 (100%) *	7 (87.5%) *	

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[n]					
Aldosterone antagonist [n]	0 (0%)		3 (37.5%) *	8 (100%) *	
<u>Lipid profile</u>					
Total cholesterol [mmol/L]	6.4±0.4		6.3±0.3	5.3±0.6	4.7±0.3
LDL cholesterol [mmol/l]	3.5±0.3		4.3±0.4	3.4±0.5	2.7±0.3
HDL cholesterol [mmol/L]	1.7±0.3		1.4±0.1	1.1±0.1 *,§	1.2±0.1

Data are mean±SEM. Abbreviations: LV: left ventricular; peak VO₂: peak oxygen consumption; CHF: chronic heart failure; ACE inhibitor: angiotensin converting enzyme inhibitor; ATII blocker: angiotensin II subtype I receptor blocker; LDL: low density lipoprotein; HDL: high density lipoprotein. * p<0.05 vs. healthy; ** p<0.001 vs. healthy; § p<0.05 vs. CHF-NYHA-II; † p<0.05 vs. CHF-NYHA-IIIb Beg.

Material and Methods

NanoLC-MS/MS analysis

The proteome of isolated HDL particles from 7 NYHA-IIIb patients and 8 healthy controls before and after an ET program were investigated by shotgun LC-MS/MS. In total, 4 µg of protein lysates were reduced (2.5 mM DTT for 1 h at 60°C) and alkylated (10 mM iodoacetamide for 30 min at 37°C). Proteolysis was performed overnight using trypsin (Promega, Madison, WI) with a ratio of 1:25 at 37°C. The tryptic digestion was stopped by adding acetic acid at the final concentration of 1%, followed by desalting and purification using ZipTip-µC18 tips (Millipore, Billerica, MA).

Proteolytically cleaved peptides (500 ng) were, prior to mass spectrometric analyses, enriched on a 2 cm Acclaim PepMap100-precursor column (C18 2 µm, 100 Å) and then separated by reverse phase nano HPLC on a 15 cm Acclaim PepMap RSLC-column (C18 2 µm, 100 Å) using an Dionex UltiMate 3000 RSLCnano system (Thermo Scientific, Waltham, MA) at a constant flow rate of 300 nL/min. Separation was achieved using a linear gradient of 60 min (2%-25%) with 0.1% acetic acid, 2% acetonitrile in water (solvent A) and 0.1% acetic acid in 100% acetonitrile (solvent B). Separated peptides were monitored using a QExactive mass spectrometer (Thermo Scientific) equipped with a TriVersa NanoMate ion source (Advion BioSystems, Ithaca, NY). The MS-instrument was operated in data-dependent acquisition (DDA) mode. MS settings were as follow: survey full-scan spectra were acquired with a resolution $R = 70,000$, automated gain control (AGC) target was set to 3×10^6 ions, the maximum injection time was set to 250 ms. MS/MS scan events were repeated for top 10 peaks

using the higher energy dissociation mode (HCD) at normalized collision induced energy of 27.5%, underfill ratio (5%) with an intensity threshold of 8.3×10^4 ions was selected. Already targeted ions for MS/MS were dynamically excluded for 30 s with monoisotopic precursor selection enabled. Raw data from the QExactive instrument was processed using the Refiner MS 7.5 and Analyst 7.5 module (Genedata, Basel, Switzerland). Refiner MS performed peak detection, noise analysis, peak integration, isotope grouping and retention time alignment of the LC-MS/MS data. Generated peak lists were searched against a human FASTA-formatted database containing 20,268 unique entries (human_uniprot_swissprot_2011_10.fasta) using an in-house Mascot server v2.3.2 (Matrix Science, London, GB). Database searches were performed with carbamidomethyl on cysteine as fixed modification and oxidation on methionine as variable modification. Enzyme specificity was selected to trypsin with up to two missed cleavages allowed using 10 ppm peptide ion tolerance and 20 mmu MS/MS tolerance. Only ranked one peptide hits and a Mascot ion score >23 were considered as identified. After peak annotation, the data were further processed in Analyst 7.5, where statistical data evaluation was performed using univariate and multivariate methods. Paired sample T-Test and parametric T-test were used for group comparison. A value of $p < 0.05$ was considered as statistically significant. A Principal Components analysis (PCA) was applied as classical means of dimensionality reduction and visualization of multivariate data. Proteins were functionally assigned to canonical pathways using Ingenuity Pathway Analysis (Ingenuity Systems, Redwood City, CA, USA).

Figure Legends.

Supplementary figure 1: Correlation analysis between the HDL-induced eNOS phosphorylation at Ser¹¹⁷⁷ (A) or change in absolute NO production (B) and the change in endothelial function due to the 3 month of exercise intervention.

Table 1: Proteins identified by label free shotgun LC-MS/MS analysis

Protein name	UNI Prot Accession Numbers	Description	number of identified peptides	control vs. NYHA baseline	control vs. NYHA post	control pre vs. post	NYHA pre vs. post
A1AT	P01009	Alpha-1-antitrypsin	22	0.019 (1.77)	0.003 (2.09)	0.087	0.792
CO3	P01024	Complement C3	17	0.394	0.018 (-0.72)	0.843	0.083
APOM	O95445	Apolipoprotein M	9	0.01 (0.84)	0.006 (0.76)	0.435	0.846
APOC3	P02656	Apolipoprotein C-III	7	0.001 (-1.45)	0.001 (-1.1)	0.137	0.432
APOL1	O14791	Apolipoprotein L1	7	0.038 (-0.91)	0.111	0.245	0.87
APOC4	P55056	Apolipoprotein C-IV	5	0.001 (-1.62)	0.001 (-1.7)	0.644	0.588
SAA	P02735	Serum amyloid A protein	5	0.759	0.007 (-2.3)	0.094	0.287
CNDP1	Q96KN2*	Beta-Ala-His dipeptidase	4	0.606	0.028 (0.75)	0.085	0.844
CO5	P01031	Complement C5	4	0.033 (-0.85)	0.109	0.841	0.538
APOC2	P02655	Apolipoprotein C-II	3	0.003 (-0.89)	0.005 (-0.84)	0.004 (0.19)	0.496
HPTR	P00739	Haptoglobin-related protein	3	0.032 (1.67)	0.496	0.382	0.66
A2MG	P01023	Alpha-2-macroglobulin	2	0.365	0.003 (-2.46)	0.088	0.823
HPT	P00738	Haptoglobin	2	0.017 (-1.87)	0.747	0.135	0.304
PCSK9	Q8NBP7	Proprotein convertase subtilisin/kexin type 9	2	0.072	0.002 (-1.12)	0.63	0.397
THRB	P00734	Prothrombin	2	0.003 (4.97)	0.001 (4.81)	0.228	0.344
ABCC8	Q09428*	ATP-binding cassette sub-family C member 8	1	0.08	0.014 (2.3)	0.532	0.683
BPIB1	Q8TDL5	BPI fold-containing family B member 1	1	0.089	0.023 (-0.34)	0.296	0.239
DHX8	Q14562*	ATP-dependent RNA helicase DHX8	1	0.017 (2.4)	0.003 (2.89)	0.323	0.549
HRG	P04196	Histidine-rich glycoprotein	1	0.038 (2.11)	0.002 (2.37)	0.202	0.81
LBP	P18428	Lipopolysaccharide-binding protein	1	0.037 (-1.01)	0.002 (-1.52)	0.697	0.207
SLIT1	O75093*	Slit homolog 1 protein	1	0.256	0.042 (-0.83)	0.280	0.325

* novel identified proteins; group-wise comparison based on independent or dependent (paired) student T-Test. Data were represented by p-Value (effect size)